



DEPARTMENT OF HOMELAND SECURITY
U.S. Customs and Border Protection

OMB APPROVAL NUMBER: 1651-0008
EXPIRATION DATE: 03/31/2028

APPLICATION FOR IDENTIFICATION CARD

19 U.S.C. 66, 1551, 1555, 1565, 1624, 1641;
19 CFR 112.42, 118, 122.182, 146.6

| | | | | | |
|--|---------|-------------------------|--|-----------------------|------------------|
| 1. Type Of Activity Requiring Identification Card <input type="checkbox"/> Cartman/Lighterman <input type="checkbox"/> Broker's Employee <input type="checkbox"/> CBP Security Area Identification <input type="checkbox"/> Warehouse Officer or Employee <input type="checkbox"/> Container Station Employee <input type="checkbox"/> Foreign Trade Zone Employee <input type="checkbox"/> CES Employee <input type="checkbox"/> OPR | | | | 2. Date | |
| 3. Name (Last, First, MI) | | | | | |
| 4. Social Security Number | | | 5. Citizenship Resident Alien Number <input type="checkbox"/> Lawful Permanent Resident <input type="checkbox"/> | | |
| 6. List Any Other Names You Have Ever Been Known By (Nicknames, aliases, etc.) | | | | | 7. Date Of Birth |
| 8. Home Address (Number, Street, City, State, and ZIP Code) | | | 9. Name And Address Of Present Employer | | |
| 10. If you checked CBP Security Area Identification in block 1. Describe the employee's job description, responsibilities and zone requested" | | | | | |
| 11. General Comments | | | | | |
| 12. Home Phone Number | | 13. Mobile Phone Number | | 14. Email Address | |
| 15. Business Phone Number | | | 16. Employer Email Address | | |
| 17. Place Of Birth (City, County, State, and Country) | | | 18. Height 19. Weight 20. Color Hair 21. Color Eyes | | |
| | | | 22. Visible Scars Or Marks | | |
| 23. U.S. Coast Guard Port Security Card Number | | | 24. U.S. Merchant Marine Card Number | | |
| 25. Have You Ever Applied For Card In Item 23 Or Item 24? <input type="checkbox"/> YES <input type="checkbox"/> NO (Skip Items 26 and 27) | | | 26. Has Application For Card In Item 23 Or 24 Been Denied? <input type="checkbox"/> YES (If Yes, explain in Item 27) <input type="checkbox"/> NO (Skip Item 27) | | |
| 27. Explanation Of Application Denial | | | | | |
| 28. List All Residences During The Last 5 Years (List in reverse order, beginning with the present address) | | | | | |
| DATES | | Number and Street | City | State | Country |
| From | To | | | | |
| | PRESENT | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| 29. Have You Ever Served In The Armed Services Of The U.S.? <input type="checkbox"/> YES <input type="checkbox"/> NO (Skip Items 30-34) | | | 30. Branch Of Service | | |
| 31. Dates Of Service | | | 32. Serial Number | 33. Type Of Discharge | |
| 34. If Discharge Was Other Than Honorable, Explain In Full Detail | | | | | |

35. Have You Ever Applied For An Identification Card With U.S. Customs And Border Protection?

☐ YES (If Yes, explain details)

☐ NO

36. PREVIOUS EMPLOYMENT -- (List in reverse order, beginning with the most recent employment (Last 10 Years))

| DATES | | EMPLOYER ADDRESS | EMPLOYER NAME AND YOUR OCCUPATION |
|-------|----|------------------|-----------------------------------|
| From | To | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

37. Have You Ever Been Convicted Of Any Crime Or Offense Including Customs And Immigration Violations (Other than traffic violations, you may exclude any items which occurred before your 16th birthday) In This Country Or Elsewhere?

☐ YES (If YES, explain in Item 38.)

☐ NO

38. Explanation Of All Convictions (Federal, State, Military, or Foreign)

| Date | Place | Charge | Court | Final Disposition |
|------|-------|--------|-------|-------------------|
| | | | | |
| | | | | |
| | | | | |

39. Do You Now Use Or Have You Ever Used Narcotic Drugs?

☐ YES (If YES, explain below.)

☐ NO

40. Attach a Copy Of Any Of The Listed Acceptable Forms Of Identification Here

41. CERTIFICATION

Applicant acknowledges any false statement or material misrepresentation in this application may cause their access to the Customs Security Area to be revoked or suspended. Applicant acknowledges, under the laws of the United States, that the foregoing is true and correct, and that Applicant is the person named above and understands that any false statements in this application may be punishable under the 18 U.S.C.1001 by a fine of not more than \$10,000 or by imprisonment of not more than five years or both.

Signature

Date

Paperwork Reduction Act Notice: The Paperwork Reduction Act says we must tell you why we are collecting this information, how we will use it, and whether you have to give it to us. We ask for this information to carry out U.S. Customs and Border Protection laws of the United States. This form is used by licensed cartmen or lightermen or their employees as an application to apply for a CBP identification card and is required to obtain or retain a benefit. The estimated average burden associated with this collection of information is 17 minutes per respondent or recordkeeper depending on individual circumstances. The valid OMB Control Number for this Information Collection is 1651-0008.

CBP Form 3078/OMB No. 1651-0008
DHS Privacy Act Statement

Pursuant to 5 U.S.C. § 552a(e)(3), this Privacy Act Statement serves to inform you of why DHS is requesting the information on this form.

A. AUTHORITY:

Collection of the information solicited on the CBP Form 3078/OMB No. 1651-0008, is authorized by 5 U.S.C. 301; 19 U.S.C. § 1551, 1565, 1624, 1641; and 19 CFR§ 112.42.

B. PURPOSE:

The primary purpose for soliciting this information is to enable CBP to conduct a background investigation and thereby determine whether the applicant meets the criteria required for the issuance of an identification card. Additionally, CBP solicits information through this form to enable CBP/Office of Personal Responsibility (OPR) to conduct a background investigation and thereby determine whether a visitor or contractor may be cleared to enter a CBP Port of Entry (POE).

C. ROUTINE USES:

CBP may provide information collected and contained in the applicant's file to those employees of CBP who have a need for the records in the performance of their duties. CBP may also use this information, when deemed appropriate, in a proceeding to revoke or suspend the identification card or CBP POE.

The information solicited on the CBP Form 3078/OMB No. 1651-0008 may be shared externally as a "routine use" to other government agencies to assist the Department of Homeland Security in investigating and assessing an applicant's eligibility for an identification card. A complete list of the routine uses can be found in the system of records notice associated with this form; DHS/ALL-023 Department of Homeland Security Personnel Security Management, October 13, 2020, 85 FR 64511, and DHS/ALL-026 Department of Homeland Security Personal Identity Verification Management System, June 25, 2009, 74 FR 30301. The Department's full list of system of records notices can be found on the Department's website at <http://www.dhs.gov/system-records-notices-sorns>.

D. CONSEQUENCES OF FAILURE TO PROVIDE INFORMATION:

Providing this information is not legally required and is voluntary. However, failure to do so may result in CBP's inability to conduct the background investigation required to issue the identification card or to grant clearance to CBP POE.



U.S. Customs and Border Protection

ADDITIONAL INSTRUCTIONS TO APPLICANTS FOR CUSTOMS AND BORDER PROTECTION AIRPORT SECURITY ACCESS

Customs and Border Protection (CBP) Form 3078, Application for Identification Card, CBP Security Area Identification, question #37 asks, "Have you ever been convicted of any crime or offense (other than traffic violations, you may exclude any items which occurred before your 16th birthday) in this country or elsewhere?"

To properly answer this question, you must include the following:

- Any offenses, whether misdemeanor or felony, to which you pled *nolo contendere*, or no contest
- Any offenses, whether misdemeanor or felony, to which you pled guilty or were found guilty
- Any offenses, whether misdemeanor or felony, for which adjudication was withheld
- Any offenses, whether misdemeanor or felony, for which you were sentenced to incarceration
- Any offenses, whether misdemeanor or felony, for which you were placed on probation or placed in a Pretrial Diversion Program (PTI)
- Any offenses, whether misdemeanor or felony, for which you were ordered to pay fines or restitution
- Any offenses, whether misdemeanor or felony, for which the record was sealed or expunged
- Any offenses meeting the above criteria that occurred in any state or country

There are no time limits for items above that must be included, except that offenses which occurred before your 16th birthday need not be included.

For the purpose of responding to this question, you are not required to disclose traffic violations, which are defined herein as non-criminal traffic or parking infractions. However, criminal traffic offenses punishable by incarceration must be disclosed.

Question #39 asks "Do you now use or have you ever used narcotic drugs?" To properly answer this question, please remember that the Federal government considers Marijuana use to be a schedule 1 narcotic. Note that the question asks *have you ever?*, all prior narcotics use should be included, as there are no time limits for this question. If answering yes, include the type of narcotic, and dates used.

The Port Director considers any false statement or omission on the application to be material to the applicant's honesty and integrity. By signing below, I affirm that I have read and understand the above statement on how to properly answer question #37 and question #39 of CBP Form 3078. I understand that failure to properly answer this, or any other question, on CBP Form 3078 may cause a denial or revocation of my application for Customs and Border Protection Security Access. **Furthermore, I am aware that federal law provides for imprisonment and/or fines for false statements or use of false documents in connection with the completion of this form and all attachments per 18 USC 1001.**

BE ADVISED

A CRIMINAL HISTORY BACKGROUND CHECK WILL BE CONDUCTED

**FAILURE TO DISCLOSE ANY CONVICTION
WILL RESULT IN AN AUTOMATIC DENIAL**

PLEASE ANSWER QUESTIONS #37 & #39 ON CBP FORM 3078 CORRECTLY

Signature

Print name

Date